

10-04-01  
Sector #3  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicants: Scott Shyh Guang Yen  
Assignee: Vitria Technology, Inc.  
Title: Method For Incorporating Human-Based Activities In Business Process Models  
Serial No.: 09/824,175 Filing Date: March 30, 2001  
Examiner: Unknown Group Art Unit: Unknown  
Docket No.: M-11437 US

San Francisco, California  
October 2, 2001

BOX MISSING PARTS  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON-  
PROVISIONAL APPLICATION - FILING DATE GRANTED

Dear Sir:

In response to the "Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted" mailed by the United States Patent and Trademark Office on August 7, 2001, the following documents are enclosed to complete the filing of the above-identified patent application:

1. A declaration signed by the inventors in compliance with 37 CFR 1.63.
2. Notification Under 37 C.F.R. §1.27(g)(2) of Loss Of Entitlement To Small Entity Status; and
3. Copy of Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted.

The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account No. 19-2386:

LAW OFFICES OF  
SKJERVEN MORRILL  
MacPHERSON LLP  
25 METRO DRIVE  
SUITE 700  
SAN JOSE, CA 95110  
(408) 453-9200  
FAX (408) 453-7979

- |    |   |           |
|----|---|-----------|
| 1. | Surcharge for filing declaration on a date later than the filing date of the application. | \$130.00  |
| 2. | Statutory basic filing fee.   | \$740.00  |
| 3. | TOTAL FEES:   | \$ 870.00 |

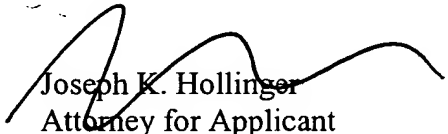
The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 19-2386.

It is hereby respectfully submitted that the enclosed documents complete the filing of the above patent application and justify the filing date of March 30, 2001. Please telephone the undersigned at (415) 217-6000, if there are any questions. This form is being submitted in duplicate.

EXPRESS MAIL LABEL NO:

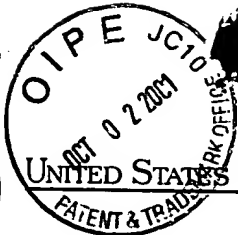
EL873075032US

Respectfully submitted,

  
Joseph K. Hollinger  
Attorney for Applicant  
Reg. No. 40,649

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UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/824,175	03/30/2001	Scott Shyh Guang Yen	M-11437 US

CONFIRMATION NO. 8273

## FORMALITIES LETTER



\*OC000000006394756\*

SKJERVEN MORRILL MACPHERSON LLP  
25 Metro Drive, Suite 700  
San Jose, CA 95110

Date Mailed: 08/07/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 420.

*A copy of this notice MUST be returned with the reply.*

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Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/05/2001 WNDHAW1 00000090 192386 09824175

01 FC:101 740.00 CH  
02 FC:105 130.00 CH



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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Assignee: Vitria Technology, Inc.  
Title: Method For Incorporating Human-Based Activities In Business Process Models  
Serial No.: 09/824,175 Filing Date:  
Examiner: Unknown Group Art Unit: Unknown  
Docket No.: M-11437 US

#4

San Francisco, California  
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BOX MISSING PARTS  
COMMISSIONER FOR PATENTS  
Washington, D. C. 20231

**NOTIFICATION UNDER 37 C.F.R. § 1.27(g)(2) OF  
LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS**

Dear Sir:

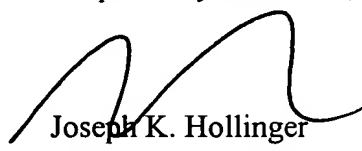
Pursuant to 37 C.F.R. § 1.27(g)(2), Applicant hereby notifies the U.S. Patent and Trademark Office that Applicant is no longer claiming small entity status for the above-cited patent application or patent.

If there is any question concerning this paper, please telephone the undersigned at (415) 217-6000.

EXPRESS MAIL LABEL NO:

EL873075032US

Respectfully submitted,

  
Joseph K. Hollinger  
Attorney for Applicant  
Reg. No. 40,649

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